



2024

# OFFICE OF INSPECTOR GENERAL SEMIANNUAL REPORT TO CONGRESS

NATIONAL GEOSPATIAL-INTELLIGENCE AGENCY  
1 OCTOBER 2023 — 31 MARCH 2024



MESSAGE FROM THE

## INSPECTOR GENERAL



I am pleased to provide this semiannual report from the National Geospatial-Intelligence Agency (NGA) Office of Inspector General (OIG) for the period of 1 October 2023 through 31 March 2024. During this timeframe, the OIG continued to detect and eliminate fraud, waste, and abuse, and offer recommendations to improve Agency programs and processes.

The OIG also identified fraud through proactive data analytics by honing fraud detection models to provide meaningful results. The office enhanced OIG business practices by creating management dashboards for informing leaders and by highlighting areas that may require future audits, inspections, and evaluations.

The OIG received 116 complaints during the reporting period; investigated 20 potential violations of law, policies, and procedures; and closed 23 investigations.

The OIG also completed seven reports involving NGA financial statements, loaned equipment, joint duty rotations, removable media, small business contracts, and DevCorps operations. Also, 13 audit and inspections projects remained ongoing during this reporting period.

NGA closed 18 audit and inspection recommendations concerning permanent duty travel, cybersecurity, assignments, GEOINT Search and Retrieval, loaned equipment, removable media, property accountability, personnel security, and classification management.

The OIG continues to build its corporate management system through the procurement of software begun during the previous reporting period.

The OIG established its Management and Resources Division to oversee the office's plans and programs, including priority functions of the Inspector General Career Service and the Data Analytics Team.

As always, I greatly appreciate the support of the NGA Director, senior leaders, and the Agency's exceptional workforce.

*Cardell K. Richardson, Sr.*  
 Cardell K. Richardson, Sr.  
 INSPECTOR GENERAL

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# Strategic Oversight of NGA

NGA's GEOINT provides a critical advantage to the ongoing work of keeping our nation safe. Over time, NGA has successfully adapted to shifting global threats, technology advancements, and far-reaching modernization efforts to deliver timely, relevant, and accurate GEOINT to policymakers, warfighters, intelligence professionals, and first responders.

The OIG provides broad oversight of NGA's mission areas by performing independent, objective audits, inspections, evaluations, and investigations to promote effectiveness, efficiency, economy, and integrity throughout NGA. The OIG also detects fraud, waste, and abuse in NGA programs and operations. To ensure the most effective use of its resources, OIG strategically assess challenges and opportunities facing the Agency and pursue timely and relevant oversight reviews and investigative initiatives to address those challenges and opportunities.

To that end, the OIG enhanced how it assesses and produces its annual report on the top management and performance challenges facing NGA and how it develops a more responsive annual audit, inspection, and evaluation plan. The OIG reviewed NGA's updated strategic objectives; relevant congressional testimonies to learn what challenges Congress has identified and discussed; and new oversight work, findings, and recommendations from the NGA OIG, the Department of Defense (DoD) OIG, the Office of the Intelligence Community Inspector General (IC IG), and the U.S. Government Accountability Office (GAO). The NGA OIG also conducted outreach to NGA's senior leaders to fully leverage and incorporate their knowledge, experiences, and expertise. The OIG designed these efforts to make more apparent our focus on the strategic and enterprise-level challenges NGA faces.

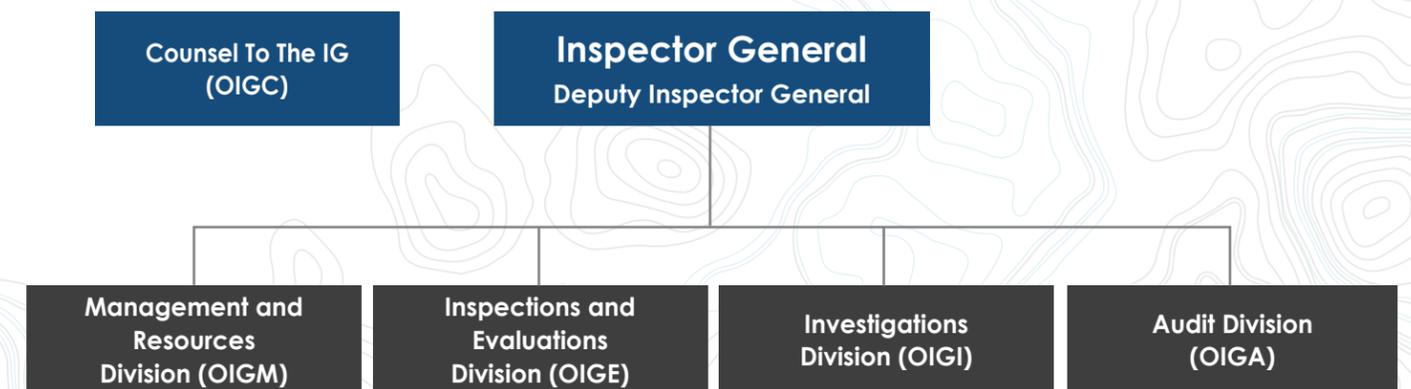
Based on this research and outreach, the OIG articulated three broad NGA management and performance challenge areas for Fiscal Year (FY) 2024, published officially in the Agency Financial Report on 15 November 2023:

Continuing to lead the GEOINT enterprise in an evolving landscape

Strengthening the stewardship of Agency resources

Improving workforce management

## Office of Inspector General



# NGA Office of Inspector General Initiatives

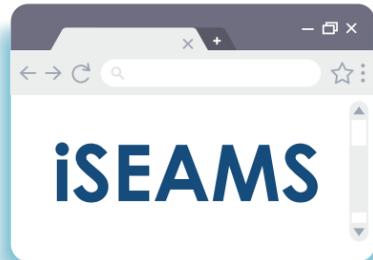
In addition to its oversight activities, the National Geospatial-Intelligence Agency (NGA) Office of Inspector General (OIG) also undertook initiatives to enhance its effectiveness and mission success. These included creating OIG’s first corporate management system, enhancing proactive fraud detection through data analytics, and improving processes and procedures through business analytic models. The OIG also continued its whistleblower outreach.

## IG Corporate Management Software Procurement

### INVESTIGATION SUPPORT EVALUATION AUDIT MANAGEMENT SYSTEM (iSEAMS)



OIGA  
OIGC  
OIGE  
OIGI  
OIGM



- Standardized Reports of Investigation
- Charts and Graphs
- Audit Reports
- Management Dashboards
- Inspection Reports
- Semiannual Reports
- FOIA Redacted Reports
- Budget Dashboards

OIG PROCESSES

AUTOMATION

OUTPUT

As early as 2020, the OIG had identified the need for a modern corporate management system to enhance data accuracy, facilitate the integration of insights from its range of oversight activities, and improve the processes and timeliness of its investigations, audits, inspections and evaluations. In FY 2022, the OIG formally requested funding and developed requirements for such a tool. The future corporate management system was named the Investigation Support Evaluation Audit Management System (iSEAMS). The OIG submitted an unfunded requirement to procure the software and in late FY 2023 received the funds leading to the award of the software contract during the previous reporting period. iSEAMS is set to become fully operationally capable in 2024.

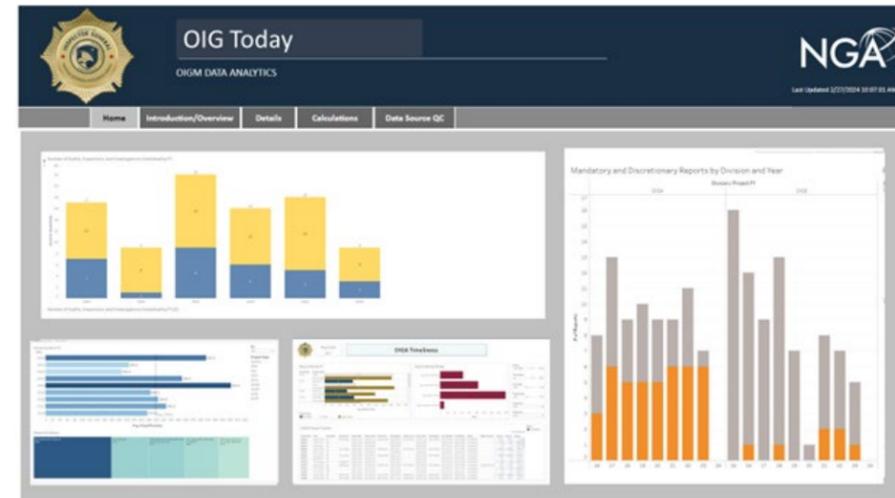
### Proactive Fraud Detection Using Data Analytics

The OIG continued its proactive fraud detection initiative, which includes conducting electronic sourcing and profiling by analyzing multiple data sources at the same time. The OIG has five separate data analytics proactive fraud detection models currently in place to identify fraud involving government purchase cards, government travel cards, the Coronavirus Aid Relief and Economic Security (CARES) Act, contracts, and time and attendance reporting.

The Data Analytics Team is automating these proactive fraud detection models with dashboard views that update every 24 hours showing the indications of potential violations of law, rule, or regulation. With these models, OIG leadership can readily view the trends and see who may be committing fraud, waste, and abuse and make the determination as to what needs to be investigated, audited, or inspected.

### Data Business Models Using Data Analytics

The OIG took an approach to their business practices and automated inspections, investigations, audits, and budget data in a timely manner, providing up-to-the minute visualizations of their data through the use of data analytics. These business analytics enable informed decision-making, provide easy access to pertinent data for reports such as this one, enhance internal and external collaboration and meetings, and improve strategic planning.



### Whistleblower Outreach

The OIG is proactively planning for Whistleblower Appreciation Day, 30 July 2024. The OIG team plans to conduct a series of activities to recognize the courage of whistleblowers and to educate NGA employees and contractors on their duty to report and on the protections afforded them against reprisal.

Additionally, the OIG has updated the Agency’s mandatory whistleblower annual training to reflect statutory changes to whistleblower protections within the Intelligence Community. Among other benefits, this updated training will educate the workforce on the enhanced whistleblower protections now afforded to contractors in accordance with 50 U.S.C. § 3234.

# Audits and Inspections Issued During Reporting Period and Recommendations for Corrective Action

## IG Act § 5 (a)(1) & § 5(a)(5)(A)

During this reporting period, OIG prepared seven reports that outlined significant problems, abuses, or deficiencies at NGA and issued 69 recommendations (including Financial Statement Audit recommendations) to the Agency. Summaries of the reports issued and recommendations for corrective action appear below.

Independent Auditors' Report on the NGA Financial Statements for FY 2023 and FY 2022, Report No. OIGA 24-01, issued 9 November 2023

Management Letter for the FY 2023 Financial Statement Audit Engagement, Report No. OIGA 24-04, issued 18 December 2023

**Overview.** The OIG contracted an independent public accounting firm to audit NGA's financial statements. The audit objective was to provide an opinion on whether NGA's financial statements were presented fairly, in all material respects, in accordance with U.S. generally accepted accounting principles. The firm also considered NGA's internal control over financial reporting and performed tests to determine whether NGA complied with applicable provisions of laws, regulations, contracts, and grant agreements.

**Results.** The audit engagement resulted in a disclaimer of opinion on NGA's FY 2023 and FY 2022 financial statements because the firm was unable to obtain sufficient, appropriate audit evidence on which to base an opinion.

**Recommendations.** The OIG issued the recommendations listed in the following table:

- |           |  |
|-----------|--|
| <b>1A</b> | The Financial Management Directorate (FM) and the Office of Contract Services (OCS) should continue to coordinate to develop, document, and implement controls and procedures for applicable personnel, including program managers, contracting officers, contracting officer representatives, financial managers, and technical points of contact to continuously review and monitor all unliquidated obligations for validity; communicate the need for deobligation timely when balances need to be deobligated; process deobligations timely; and maintain documentation to support the validity of obligations. |
| <b>1B</b> | FM should delegate appropriate resources to complete timely reviews of unliquidated obligations to reduce the large volume of unvalidated obligations.   |
| <b>1C</b> | OCS, in coordination with FM, should design and implement policies, procedures, and training to properly communicate all steps necessary for technical points of contact to properly prepare and certify advanced liquidation receiving reports, to include verifying the receipt of goods and/or services related to advance paid military interdepartmental purchase requests (MIPRs) and the determination of appropriate documentation to obtain from trading partner organizations.   |
| <b>1D</b> | FM should design and implement policies to govern the determination of accounting lines on receiving reports.  |
| <b>1E</b> | OCS, in coordination with FM, should design and implement training, policies, and procedures to properly communicate all responsibilities and all steps necessary to properly prepare and certify receiving reports, including allocating invoice amounts on receiving reports to the appropriate lines of accounting for contracts with multiple accounting classification reference numbers (ACRNs) under a contract line item number (CLIN)/sub-line item number.   |
| <b>2A</b> | FM, the Security and Installations Directorate (SI), and the Chief Information Officer and IT Services Directorate (CIO-T) should complete their efforts to review personal property costs. As part of the review, management should identify and move completed projects from the construction-in-progress and software-in-development accounts to the respective in-use accounts and remove noncapitalizable items from construction-in-progress and software-in-development.  |

2B	FM, SI, and CIO-T should complete implementation of planned corrective actions to ensure compliance with the federal accounting standards requirement of the Federal Financial Management Improvement Act, Section 803(a).
2C	FM, SI, and CIO-T should collaborate to develop and implement a standard methodology to allocate capitalizable government personnel costs incurred during system development to software-in-development at the asset level. Such methodology should be documented as a policy and communicated to relevant NGA personnel for execution as appropriate.
2D	SI should complete its plan to refine property policies and procedures and consistently implement such processes to timely identify and record capital assets. In doing so, SI should coordinate with key stakeholders to develop and implement a centralized process to monitor the receipt of assets and implement a process to involve property personnel in both the acquisition and receipt of property to facilitate the timely recording of assets.
2E	SI should revise and enforce monitoring control procedures over the annual inventory assessment to validate whether assets exist and continue to be in service.
2F	SI should develop and implement a centralized process to monitor disposal activities, including those that are performed by contractors and other third-parties at external locations, to ensure proper approval and timely recording of disposal of assets.
2G	SI should revise and enforce monitoring control procedures over excess property requests to ensure assets that are no longer in service are disposed of in the property and accounting systems timely.
2H	SI should coordinate with FM to develop and implement a process to use the appropriate U.S. Standard General Ledger account for capital assets that are no longer in use but have not yet been disposed as of month-end.
3A	FM should incorporate procedures over the reliability of key Treasury Index 97 (TI-97) Cash Management Report data elements used in FM's control or document management's rationale for reliance on these elements.
3B	FM should complete transaction by others risk assessment procedures to address the potential for NGA transactions by others to be included in each TI-97 fund category.
3C	FM should revise its completeness assessment methodology and properly design and implement related controls for the TI-97 assessment.
3D	FM should resolve all variances in the Fund Balance with Treasury (FBWT) reconciliation within the required timeframe.
3E	FM should validate the deposit fund balance as of a point in time and maintain adequate supporting documentation as a result of this validation.
3F	FM should record a journal entry to correct FY 2023 direct budget authority for RDT&E, and update guidance for recording budget authority journal entries to include steps for recording withheld funding.
4A	NGA should continue to leverage the Audit Committee as a resource for monitoring audit finding remediation with various stakeholders (i.e., leadership within FM and across other relevant components) and periodically communicating audit progress and challenges to the Director or Deputy Director.
4B	NGA should configure posting logic in its financial system in alignment with the U.S. Standard General Ledger at the transaction level.
4C	FM should complete planned corrective actions to fully implement enterprise risk management (ERM) and internal control over financial reporting (ICOR-FR) assessment procedures and address the requirements of Office of Management and Budget (OMB) Circular No. A-123, Management's Responsibility for Enterprise Risk Management and Internal Control .
4D	FM should enforce its journal entry directive, FM Directive 014, as it relates to the appropriate validation of underlying data.
4E	FM should revise its policies and procedures such that the FM office responsible for the financial statement adjustment include the FM office responsible for the property remediation packages in the preparation and/or review of the underlying data for property, plant, and equipment (PP&E) restatement entries to validate that the underlying data is complete.
4F	NGA's Risk Management Division (TESR) should revise its policies and procedures such that the FM office responsible for the financial statement adjustment include the FM office responsible for the property remediation packages in the preparation and/or review of the underlying data for PP&E restatement entries to validate that the underlying data is complete.
4G	TESR should update existing procedures or document new procedures to specify requirements to incorporate plans to correct security weaknesses identified in audits or other reviews in system plans of action and milestones (POA&Ms) for tracking and remediation.
4H	FM should develop and implement procedures to monitor and enforce DoD FM certification requirements in accordance with DoD Instruction 1300.26, DoD Financial Management Certification Program.
4I	FM should maintain and timely provide supporting documentation related to required continuous learning course hours.

4J	HD should implement automated controls to enforce that active NGA users complete mandatory security training within the required timeframe.
4K	HD should review and update NGA Directive 1025, Supervisors' & Employees' Authorities and Responsibilities as Prescribed by NGA Corporate Policy, in accordance with NGA Instruction (NGAI) 5425.1, Corporate Policy Program.
4L	FM should allocate resources to review all relevant service and subservice organizations and document the impact of ineffective control objectives on NGA's control environment.
1A	<b>Significant Deficiency:</b> CIO-T, in coordination with other relevant components, should develop, document, implement, and enforce consistent account management policies and procedures, including those related to account authorization, periodic access reviews, segregation of duties, access to shared service accounts, and timely removal of access. Such procedures should include consideration of the entire system boundary, the retention of documentation to support approvals and evidence of periodic reviews, and verification of the completeness and accuracy of listings used during periodic reviews.
1B	<b>Significant Deficiency:</b> CIO-T, in coordination with other relevant components, should adhere to established NGA processes to assess the risk for passwords not in compliance with NGA requirements and document acceptances or remediation plans through the program POA&Ms; require that users utilize multifactor authentication to access Agency networks; and update current procedures or establish additional procedures to approve, manage, and track exempt users or document a risk acceptance regarding users who are exempt from using multifactor authentication.
1C	<b>Significant Deficiency:</b> CIO-T should consistently implement and document audit log review procedures for all systems in accordance with National Institute of Standards and Technology (NIST) requirements and NGA policy. Such procedures should include the retention of sufficient documentation to evidence review for each instance of the control occurrence.
1D	<b>Significant Deficiency:</b> This recommendation is classified.
1	<b>Management Letter:</b> SI should complete updates to NGA Manual (NGAM) 4160.1, Accountability and Management of Property, Plant, Equipment, and Other Accountable Property, to fully document NGA's policies over personal property lease identification and classification, including the assessment of leases as capital or operating. The policy should also indicate that personal property leases be included in a note disclosure, as applicable.
2	<b>Management Letter:</b> OCS should enforce compliance with the Federal Acquisition Regulation (FAR) and NGAI 5100.1, NGA Acquisition Regulation Implementation (NARI), by implementing a control over contracting officers' adherence to their authorized warrant approval thresholds.
3	<b>Management Letter:</b> The Office of Corporate Communications Visual Communications and Business Executive Office should enforce Statement of Federal Financial Accounting Standards (SFFAS) 29 requirements and NGA policy over the identification and disposal of heritage assets initiated by asset custodians.
4	<b>Management Letter:</b> FM should continue to enforce its journal entry directive, FM Directive 014, specifically as it relates to appropriate review procedures.





### Audit of NGA's Loaned Equipment, Report No. OIGA 24-02, issued 29 November 2023

**Overview.** The OIG conducted this audit to assess the extent to which the loan of NGA equipment has achieved its intended objectives and to determine whether NGA equipment is loaned in accordance with applicable laws, instructions, and guidance.

**Results.** The OIG identified areas for improving management and oversight of loaned equipment agreements for partners, as well as property accountability within the Gravity Meter Loan Program. This program was created to further the collection, processing, and analysis of gravity data. Specifically, NGA personnel did not maintain original loan agreements, renewals, and other supporting loan documentation as required by guidance; maintain complete repositories of support agreements; or ensure property accountability for loaned equipment. NGA officials could provide only 13 of 29 current, signed support agreements with partners for loaned equipment. NGA also did not ensure partners provided gravity data as required by some terms of loan agreements. Since FY 2021, NGA has not received gravity data from at least 14 partners. As a result, the OIG was unable to assess whether the equipment loans fully achieved their intended objectives.

**Recommendations.** The OIG issued the recommendations listed in the following table:

01	The Director, International Operations and Partnerships Directorate, should establish and implement a process to maintain and centralize loan agreements for equipment loaned to international partners as required by DoD and NGA policies.
02	The Director of Corporate Operations (DXC), should develop a POA&M for completing the repository of support agreements covered by NGA 4000.19, Support Agreements.
03	The Director of DXC, should establish and implement a process to ensure reviews of support agreements covered by NGA 4000.19 are conducted as required.
04	The Director of DXC, should document the internal procedures for ensuring repository accuracy and currency.
05	The Director, Source Directorate, should develop and implement a POA&M for obtaining signed and current support agreements for equipment loaned to partners.
06	The Director, Source Directorate, should establish and implement a process to verify loans annually as required by NGA policy, maintain and centralize loan agreements and supporting documents, and obtain gravity data from partners as agreed to ensure intended program objectives are achieved.
07	The Director, SI, should update NGAM 4160.1 to require NGA Form 4140-6 to be uploaded to the PeopleSoft Asset Management System for all loaned equipment.
08	The Director, SI, should establish procedures to implement and coordinate screening of loaned equipment to international partners as required by NGA policy.

### Audit of NGA's Joint Duty Rotation Program, Report No. OIGA 24-05, Issued 14 February 2024

**Overview.** The OIG conducted this audit to determine whether NGA had implemented the Joint Duty Rotation (JDR) Program to ensure that proper agreements were in place before employees were assigned to a JDR and that funds were reimbursed in a timely manner.

**Results.** The OIG found that NGA employees did not consistently have proper agreements and written Agency approval before the start of their assignments. Additionally, the OIG found 10 of the 45 employee assignments sampled (out of 469) had been misclassified as JDRs but were actually non-JDR assignments. The OIG also found that NGA did not receive timely reimbursement of funds for the sampled assignments from other agencies. Reimbursements for some JDR and non-JDR assignments were never collected, which totaled \$1.61 million and \$351,000 respectively just for the 45 sampled.

**Recommendations.** The OIG issued the recommendations listed in the following table.

01	HD, in coordination with the NGA Chief of Staff, develop policies and procedures to define roles and responsibilities for key stakeholders involved in the JDR Program.
02	HD, designate a Joint Duty Program Manager to holistically manage the NGA JDR Program to ensure the required documentation is in compliance with Intelligence Community (IC), DoD, and NGA policies and procedures.
03	HD, in coordination with CIO-T and FM, perform an analysis to determine whether the JDRs within and outside of the Joint Duty Application Tool can be tracked and accounted for in a centralized system that provides real-time updates on the status of employees. Based on the results of the analysis, develop a POA&M to implement the system.
04	HD, in coordination with FM and the NGA Chief of Staff, develop policies and procedures to ensure funding agreements are in place before the start of JDRs and reimbursements are collected on time. The policy should also establish actions to take when gaining agencies do not reimburse NGA for employees on JDRs.
05	The Chief Financial Executive (CFE), in coordination with HD, develop a POA&M to automate the reimbursement process that supports the JDR Program so the CFE can promptly bill for employee services, eliminate the heavy reliance on manual entries, and account for all reimbursable JDRs.
06	HD, in coordination with FM, establish policies and procedures to accurately classify NGA employees on JDRs from employees on other types of assignments and update existing JDR records to reflect the policy.

## Audit of NGA's Removable Media Devices, Report No. OIGA 24-06, Issued 8 March 2024

**Overview.** The OIG conducted this audit to determine whether NGA has adequate controls over the use of removable media devices and whether those controls are operating effectively.

**Results.** The OIG found that, generally, NGA has an effective removable media device policy; however, management of NGA's removable media devices needs improvement. Controls throughout the removable media device lifecycle were not always adequate or operating effectively. Specifically, personnel did not always follow NGA's removable media policy for purchasing and issuing media devices, including initiating Service+ device requests and ensuring device owners signed a user agreement before receiving their devices; labeling and recording removable media devices, including ensuring Service+ and PeopleSoft Asset Management data accurately reflected device location and status; and inventorying removable media devices.

**Recommendations.** The OIG issued the recommendations listed in the following table.

01	The Chief Information Officer (CIO) should officially appoint and oversee a Removable Media Control Program manager (RMCPM) to execute the responsibilities outlined in NGAI 8010.3, Removable Media Management and Protection, including: ensuring the accountability of all removable media assets, managing procurement of removable media for NGA, issuing removable media to confirmed data transfer officers after verification of a signed user agreement, and conducting a biannual 100% inventory of all removable media at NGA.
02	When officially appointing the RMCPM, the CIO should ensure the RMCPM understands and implements the requirement to scan all new incoming removable media with current and approved virus detection software prior to use.
03	The CIO should manage a single copy of the removable media device whitelist in a central location and implement controls to ensure NGA purchases only whitelisted removable media devices.
04	Recommendation is classified.
05	Recommendation is classified.
06	The CIO should review the definitions of removable media in NGAI 8010.3 and related Service+ articles in the context of potential unauthorized use of disc burners and update the policy and articles if applicable.
07	Recommendation is classified.
08	Recommendation is classified.

## Inspection of NGA's Use of Small Business Contractors, Project No. QL-22-05, Report No. OIGE-24-01, issued 8 November 2023

**Overview.** The OIG conducted this inspection of the NGA Office of Small Business Programs to determine whether NGA contracting officials conducted effective oversight of small business contractors and adhered to NGAI 5100.1.

**Results.** NGA's contracting officials are placing the Agency at risk by not conducting effective oversight of small business contract performance. The OIG assesses the Office of Contracting Services (OCS) is not holding NGA's contracting officials accountable for completing mandatory small business performance assessment reports (PARs) in accordance with the Federal Acquisition Regulation and NGAI 5100.1. Additionally, the current contract writing system lacks the functionality to support the Office of Small Business Programs in meeting minimum federal compliance requirements and Agency goals.

**Recommendations.** The OIG issued the recommendations listed in the following table:

01	Consistent with NGAI 5100.1, OCS, in coordination with the Director, Office of Small Business Programs, should establish procedures to hold contracting officials accountable for documenting PARs in the contract writing system as required.
02	OCS should expedite the purchase of either new software or an upgrade to the current contract writing system that directs an alert notification to contracting officials when PARs are initiated and completed, for proper tracking and metrics, while also addressing the related recommendation from OIG Inspection Report OIGE-21-05.

## Inspection of NGA's DevCorps Operations, Project No. II-22-02, Report No. OIGE-24-02, issued 18 March 2024

**Overview.** The OIG conducted this inspection to evaluate whether NGA's Development Corps (DevCorps) office was effectively and efficiently achieving its vision, mission, and functions in support of GEOINT mission operations and priorities. DevCorps, created in 2017 as part of NGA's future vision of a data-enabled workforce, was intended to assemble government employees to design, build, maintain, and improve software, rather than NGA relying only on contractors to build and support software.

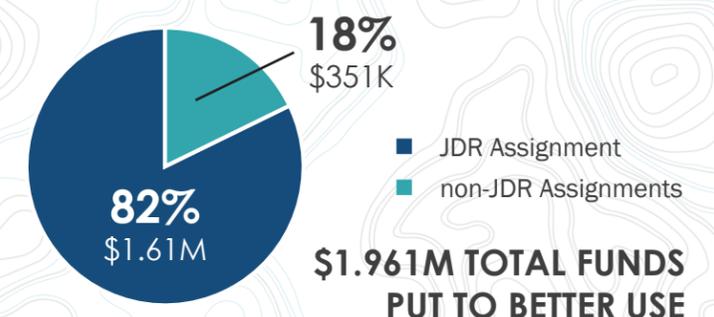
**Results.** Six years after establishment, DevCorps has still not fully achieved its stated objectives. Additionally, the inspection identified that NGA contracting officials had not awarded or administered a key DevCorps-related contract in accordance with federal, DoD, and NGA policy and were administering that contract in a manner that created the appearance of an employer-employee relationship between the Agency and the contractors. DevCorps has supported NGA efforts to modernize software development standards and processes, including creating templates and checklists other divisions use to develop software. However, these efforts were largely overlooked.

**Recommendations.** The OIG issued six recommendations listed in the following table:

01	The Associate Director for Capabilities (ADC) should assess NGA's critical needs, identify gaps in the government software developer and software engineer cadre, and update DevCorps' vision, mission statement, functions, long-term goals, and organizational placement to address critical needs and gaps.
02	The ADC should develop a DevCorps strategy Implementation Plan that: <ol style="list-style-type: none"> <li>Describes strategies, including resource needs, to achieve DevCorps' long-term goals; identifies external factors that could affect achievement of goals; establishes metrics to assess progress toward achieving long-term goals; and requires periodic evaluations to monitor the success rate of actions taken.</li> <li>In collaboration with NGA's Chief of Staff and Director, HD Directorate, should describes focused strategies to address DevCorps' recruitment and retention needs, establish metrics, and require periodic evaluations to monitor the success rate of actions taken.</li> </ol>
03	For software development contracts using Agile methodologies, the Director, OCS, should identify and share with relevant personnel criteria that provide information about requirements and best practices to ensure applicable processes to monitor contractor performance are built into the Agile process, and review and document compliance with applicable regulations prior to award.
04	The Director, OCS, should develop, or update, and implement quality control procedures requiring contracting officers to ensure proper administration of services contracts that use the aqua badge or similar construct.
05	The Director, Office of Contract Services, in collaboration with the CIO and in consultation with the General Counsel, should conduct a review of the aqua badge construct, identify risks of inadvertent improper administration of services contracts as personal services, and establish mitigation strategies that ensure proper administration of services contracts that use the aqua badge or similar constructs. Mitigation strategies should cover requirements, focused training needs, processes and/or procedures, and an actionable interpretation of the use of the term "in doubtful cases" in Federal Acquisition Regulation 37.103 and NGAI 5100.1 to ensure NGA General Counsel is consulted when appropriate.
06	The CFE should update NGA FM Directive O11 to include the complete description of 31 U.S.C. § 1342.

## Monetary Benefits

From the 45 assignments sampled (out of 469) in the audit of the JDR Program, OIG identified \$1.961 million of funds not collected that could have been put to better use.



# Ongoing Audit, Inspections, and Evaluations

The OIG currently has 13 ongoing audits, inspections, and evaluations. Summaries of each are listed below.

## 1. Audit of FY 2024 Financial Statements (Mandatory)

The Intelligence Authorization Act (IAA) for FY 2010 requires NGA to undergo an independent, full-scope financial statement audit annually. In accordance with the Chief Financial Officers Act of 1990, as amended by the Government Management Reform Act of 1994, the Agency's IG or an independent certified public accounting firm determined by the IG is responsible for performing the audit. The OIG contracted with an independent certified public accounting firm to perform the FY 2024 financial statement audit. The OIG is overseeing the progress of the audit to ensure it is performed in accordance with professional standards, OMB requirements, and the terms of the contract.

**Objective.** Provide an opinion on whether NGA's financial statements are presented fairly, in all material respects, in accordance with U.S. generally accepted accounting principles. Based on federal financial statement audit requirements, the auditor will also assess NGA's internal control over financial reporting and determine whether NGA complied with applicable provisions of laws, regulations, contracts, and grant agreements.

**Status.** The project, announced in January 2024, will be completed in November 2024.

## 2. Audit of NGA's Implementation of Artificial Intelligence (Mandatory)

The IAA for FY 2023, Title LXVII—Matters Relating to Emerging Technologies, Sec. 6721, Reports on Integration of Artificial Intelligence within the IC, requires each IG with oversight responsibility for an element of the IC to conduct an audit of their agency's efforts "to develop, acquire, adopt, and maintain artificial intelligence [AI] capabilities for the purpose of improving intelligence collection and analysis."

**Objective.** Assess NGA's efforts to develop, acquire, adopt, and maintain AI capabilities for the purpose of improving collection and analysis, the extent to which NGA's efforts are consistent with Office of the Director of National Intelligence policies and principles, the degree to which NGA has implemented the applicable provisions of the IAA, and whether there are administrative or technical barriers to the accelerated adoption of AI at NGA.

**Status.** The project, announced in June 2023, is expected to be completed in June 2024.

## 3. FY 2024 Federal Information Security Modernization Act Evaluation (Mandatory)

The Federal Information Security Modernization Act (FISMA) directs federal agencies to report annually to the OMB Director, U.S. Comptroller General, and selected congressional committees on the effectiveness of agency information security policies and procedures. In addition, FISMA requires each agency's Inspector General (IG), or an independent external auditor determined by the IG, to perform an annual independent evaluation of the information security program and practices and to report the results to OMB.

**Objective.** Assess NGA's Information Security Program in accordance with the IG FISMA reporting metrics issued by the Department of Homeland Security and determine whether NGA implemented recommendations from the prior year's evaluation. The evaluation will include testing a sample of information systems to support the IG metrics.

**Status.** The project, announced in November 2023, will be completed in September 2024.

## 4. NGA's Compliance with the Payment Integrity Information Act Assessment (Mandatory)

Congress enacted the Payment Integrity Information Act (PIIA) in March 2020. PIIA repealed the Improper Payments Information Act of 2002, the Improper Payments Elimination and Recovery Act of 2010, the Improper Payments Elimination and Recovery Improvement Act of 2012, and the Fraud Reduction and Data Analytics Act of 2015. PIIA requires agencies to identify and report on programs and activities they administer that are susceptible to significant improper payments. Each agency's IG is to determine whether its agency complied with PIIA by reviewing improper payment reporting in the agency's annual Agency Financial Report or Performance and Accountability Report and accompanying materials.

**Objective.** Determine whether NGA complied with PIIA for FY 2023.

**Status.** The project, announced in January 2024, is expected to be completed in May 2024.

## 5. Audit of NGA's Oversight of the Next NGA West Program

The construction of the Next NGA West (N2W) campus represents a considerable investment by the U.S. taxpayer and is essential for the ongoing and ever-evolving mission requirements of NGA. This large-scale construction project is managed by the U.S. Army Corps of Engineers and executed by the McCarthy HITT joint venture, with oversight by NGA and the U.S. Air Force. The 97-acre project will deliver a modern, flexible, and collaborative facility in support of NGA's evolving GEOINT mission, offering open and innovative partnering spaces, advanced technology solutions, and a sustainable environment that will serve the Agency's mission and employees for the next 100 years.

**Objective.** Determine whether NGA provided effective oversight over contracts supporting the N2W Program.

**Status.** The project, announced in March 2024, is expected to be completed in February 2025.

## 6. Review of NGA Actions to Improve the External Hiring Process

In FY 2022, The OIG initiated a review of NGA's external hiring process and identified several inefficiencies. The OIG reported preliminary findings to HD management; however, the OIG discontinued the audit as HD had developed a plan of action in response to the Consolidated Intelligence Guidance for FYs 2024–2028, which requires IC agencies to "submit an individual plan for reducing their median, end-to-end hiring timeline to no more than 180 days from the time an application is submitted to the time an individual enters on duty." HD's plan of action generally addressed the challenges observed by the audit team. Through its plan of action, HD intended to implement process improvements to reduce the hiring timeline to 200 days by September 2023. During FY 2023, HD management reported they had implemented efforts that significantly reduced the median hiring timeline, including updating external hiring panel training, streamlining data capture to decrease documentation needed to make hiring decisions, reorganizing its Talent Acquisition Office and adding resources to better support external hiring, and implementing a new applicant tracking system.

**Objective.** Determine the extent to which NGA has implemented improvements to the hiring process during FY2023 to reduce the external hiring time.

**Status.** The project, announced in October 2023, is expected to be completed in May 2024.

## 7. Scope Analysis of IT Modernization

In March 2023, the president released the National Cybersecurity Strategy, which indicated that OMB would lead the development of a multiyear plan to accelerate technology modernization. The plan would prioritize federal efforts on eliminating legacy systems that are costly to maintain and difficult to defend against cyber threats. Specifically, the plan is to identify milestones to replace all legacy systems incapable of implementing the zero trust architecture strategy within a decade or mitigate risks to those systems that cannot be replaced in that timeframe. Additionally, the 2020 NGA Technology Strategy discusses the need for coordinated and scaled modernization efforts, including a “conscious effort to provide enterprise-wide discovery and integration of mission-led IT ... to reduce redundancy, centralize technology strategy, and provide more mature capabilities.”

## 8. Ten-Year Financial Statement Audit Story Project

The IAA for FY 2010 requires NGA to undergo an independent, full-scope financial statement audit annually. In accordance with the Chief Financial Officers Act of 1990, as amended by the Government Management Reform Act of 1994, the Agency’s IG or an independent certified public accounting firm determined by the IG is responsible for performing the audit. Each year, the OIG provides an opinion on whether NGA’s financial statements are presented fairly, in all material respects, in accordance with U.S. generally accepted accounting principles. This project will summarize the financial statement audit reports for the last ten years. It will also compare the FY 2014 financial statement audit report to the most recent year (FY 2023) report and highlight areas of progress.

## 9. Inspection of Commercial GEOINT Investments (Phase 1)

NGA released an updated Commercial GEOINT Strategy in 2018 intended to “enable the National System for Geospatial Intelligence (NSG) to better understand the world by leveraging commercial GEOINT capabilities.” The strategy further emphasized embracing new suppliers and services by working with IC partners, combatant commands, military services, and federal civil partners to employ the best mix of commercial and national sources to secure strategic competitive advantage and outpace our adversaries. By collaborating with partners, NGA will take full advantage of commercial GEOINT to satisfy mission needs and maximize the efficiency of integrated architecture. This evaluation was originally planned for FY 2022, but, because of shifting priorities, it commenced in FY 2023.

## 10. Evaluation of NGA’s Pay Setting Management Process

This ad hoc evaluation evolved from complaints submitted to the OIG via the hotline program. Concerns indicated that HD issued applicants final signed offer letters specifying a total salary, and then, upon onboarding, employees discovered that their actual pay was lower than indicated on the final offer. The OIG found that this practice did not comply with DoD and NGA policy. Additionally, complaints noted the use of flawed or inaccurate data to formulate pay offers, market compensation assessments resulting in new hires getting a higher salary than current employees in the same work role, pay disparities between different work roles, gender pay gaps, job candidates unable to negotiate salary, and salary differences for specialized skillsets not comparable to salaries in industry or other government agencies.

**Objective.** Perform an internal OIG scope analysis to determine an approach to audit NGA’s IT modernization efforts.

**Status.** The project began in January 2024 and is expected to be completed in April 2024.

**Objective.** Summarize the financial statement audit reports for the last ten years.

**Status.** The project began in December 2023 and is expected to be completed in May 2024.

**Objective.** Evaluate the effectiveness of NGA’s policies and processes for identifying, prioritizing, and resourcing commercial GEOINT analytic services.

**Status.** The project, announced in February 2023, is expected to be completed in May 2024. Completion was delayed from the original date of December 2023 because of inefficient resources.

**Objective.** Evaluate whether HD is implementing and managing the pay setting process effectively and efficiently.

**Status.** The project, announced in June 2023, is expected to be completed in May 2024. Completion was delayed from the original date of January 2024 because of inefficient resources.

## 11. Evaluation of NGA’s Anti-Harassment Program

The OIG will evaluate NGA’s Anti-Harassment Program to determine the procedures for preventing and responding to harassment in NGA’s civilian employee population.

**Objective.** Determine whether NGA’s Anti-Harassment Program has the resources, policy guidance, and oversight measures in place to effectively serve NGA employees.

**Status.** The project, initiated in February 2024, is expected to be completed in August 2024.

## 12. Inspection of NGA’s Medical Clearance Process

The OIG initiated this MAR following complaints of alleged non-compliance by some NGA components with established DoD, IC, and NGA policies and procedures for pre-travel medical clearance for travel outside of the continental United States (OCONUS).

**Objective.** Determine NGA’s compliance with DoD, IC and DoD policy governing medical clearance requirements for OCONUS travel.

**Status.** The project, initiated in February 2024, is expected to be completed in April 2024.

## 13. Inspection of NGA’s Security Financial Disclosure Program

The OIG initiated an investigation of the Agency’s Security Financial Disclosure Program due to concerns from employees on privacy and civil liberties.

**Objective.** Evaluate whether NGA’s SFDP policies and procedures comply with federal laws and IC and DoD policies with respect to safeguarding the privacy rights and civil liberties of all NGA employees.

**Status.** The project, initiated in March 2024, is expected to be completed in July 2024.

## 14. Scope Analysis of NGA and NRO Commercial Imagery Roles and Responsibilities

According to the NSG’s 2035 GEOINT CONOPS, GEOINT—including commercial imagery and derived data, products, and services—must be “fast, assured, connected, persistent, and resilient” to maintain superiority through 2035 and beyond. Within the IC and DoD communities, NGA and NRO each perform critical roles in the acquisition of commercial imagery and services; therefore, the resulting project will likely involve NRO OIG and other IC OIGs. NGA serves as the IC and DoD GEOINT Functional Manager; as the nation’s authority for GEOINT tasking, analysis, and requirements formulation; and as the IC and DoD primary acquirer of commercial analytic services, which use satellite imagery. NRO serves as the nation’s principal acquisition and operational authority for space-based reconnaissance systems, and as the IC and DoD primary acquirer of commercial GEOINT imagery. Following a 2014 DNI request and 2017 memorandum of understanding between NGA and NRO, NRO assumed the responsibility for serving as the IC and DoD lead for the acquisition of commercial remote sensing data from NGA. In 2023, the Government Accountability Office found that the IC and DoD lacked clarified roles and responsibilities for the acquisition of commercial imagery, and noted that the expansion of the commercial sector and the increased reliance on space could significantly increase demand for commercial imagery.

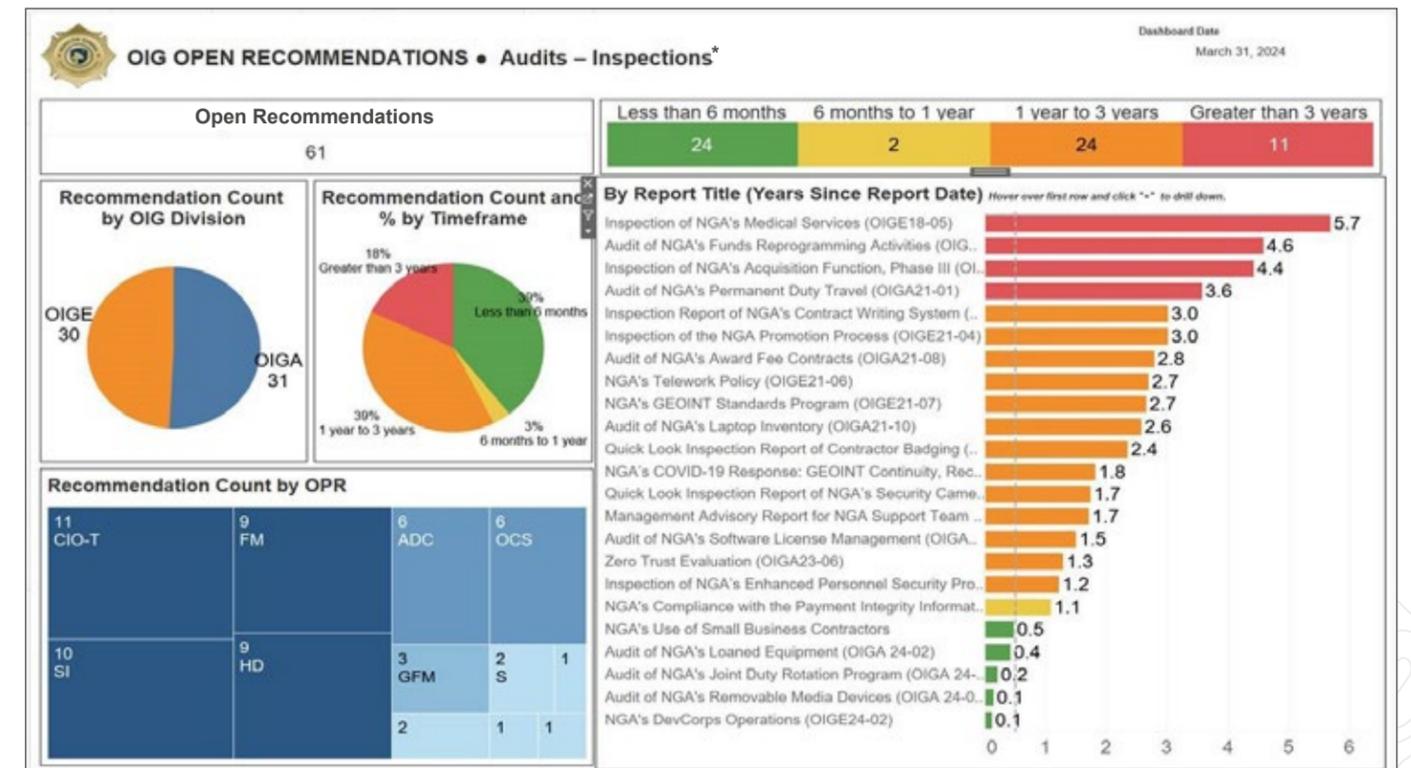
**Objective.** Perform an internal OIG scope analysis to determine an approach for assessing the effectiveness of the NGA and NRO roles and responsibilities for tasking and acquiring commercial imagery.

**Status:** The project, initiated in January 2024, is expected to be completed in May 2024.

# Outstanding Open Recommendations Dated Before the Reporting Period

IG Act § 5(a)(2)

During the reporting period, the OIG opened 30 new audit and inspection recommendations and closed 18, resulting in 61 open at the end of the period, of which 37 have remained open for more than six months. There are no potential cost savings associated with these 37 recommendations.



\*Does not include financial statement audit recommendations

Open Recommendations			
1 October 2023 → 31 March 2024			
<b>Total</b>	49 → 61	24%	↑ Opportunity
<b>1-3 Years</b>	24 → 24	0%	– Unchanged
<b>3-5 Years</b>	10 → 11	10%	↑ Opportunity

### Inspection of NGA's Medical Services Report No. OIG E 18-05 / 23 August 2018

- Rec. No. 3** Consistent with the Economy Act, SI should reassess whether interagency support is available for medical services that would be advantageous to the government. For all such support, (1) ensure documentation in an interservice support agreement (DD Form 1144), and (2) ensure the support is explained in relevant internal standard operating procedures (SOPs).
- Rec. No. 4** SI should coordinate pre- and post-deployment health activities with Secretaries of the military departments to ensure all DoD Instruction 6490.03, Deployment Health, requirements are met.
- Rec. No. 5** SI should implement a secure electronic transfer of NGA pre- and post-deployment health activity requirements to the Defense Medical Surveillance System.
- Rec. No. 7** SI should enroll medical clinics in an accreditation program of a recognized national body, such as The Joint Commission or the Accreditation Association for Ambulatory Health Care. The Agency additionally should request assistance from the Defense Health Agency Immunization Healthcare Branch for assistance with vaccine storage and use.
- Rec. No. 8** SI should update the draft SOP related to deployment. The SOP should address the various combatant commands' requirements related to psychological assessment timelines. Furthermore, ensure the SOP is coordinated with the appropriate medical personnel and Department of Energy leadership for accuracy.

### Audit of NGA's Funds Reprogramming Activities Report No. OIG A 19-07 / September 2019

- Rec. No. 1** FM should revise policy to align with congressional oversight and ODNI's definitions.
- Rec. No. 3** FM should complete an analysis of FY 2018 and FY 2019 non-below threshold reprogramming fund movements between components and identify ways to decrease the number of movements to increase the efficiency of operations.
- Rec. No. 4** FM should develop and implement Agency-wide policies that provide clear, actionable guidance on the inclusion of those executing the budget within the budget formulation process and require a standard lookback analysis at the project level to account for reprogramming trends.

### Inspection of NGA's Acquisition Function, Phase III Report No. OIG E 20-01 / 27 November 2019

- Rec. No. 2** In accordance with best practices and DoD Instruction 5000.02, Operation of the Adaptive Acquisition Framework, the ADC should realign Component Acquisition Executive (CAE) responsibilities into a position that is directly accountable to the Director, NGA, and oversees all acquisition functions within the Agency in accordance with statute and policy. Additionally, the OIG recommends that the Director appropriately staff the CAE's office and have subordinate staff report directly to the CAE. Finally, the OIG recommends the Director realign and consolidate acquisition programs and activities (including contracting, research, pilots, prototypes, and acquisition of services) under the CAE.

### Audit of NGA's Permanent Duty Travel (PDT) Program Report No. OIG A 21-01 / 2 October 2020

- Rec. No. 3** The CFE should update SOP 4.6, Payments, Transactions by Others Expenditures, to ensure FM personnel verify that permanent duty travel vouchers related to transactions by others include evidence of review and approval by Defense Finance and Accounting Service. In the absence of such evidence, FM personnel should review the underlying supporting documents to determine the propriety of the vouchers and document evidence of the review.
- Rec. No. 4** The CFE should develop procedures to record permanent duty travel obligations for living quarters allowances when permanent duty travel orders are issued.

### Inspection of the NGA Promotion Process Report No. OIG E 21-04 / 22 April 2021

- Rec. No. 1** HD should develop a plan to measure and analyze the impact of changes on the promotion process.
- Rec. No. 2** HD should develop meaningful metrics to measure the cost effectiveness and efficiency of the promotion process and coordinate with all Agency directorates participating in the promotion process to collect and provide the metrics for analysis.

### Inspection Report of NGA's Contract Writing System Report No. OIG E 21-05 / 22 April 2021

- Rec. No. 1** OCS should establish a timeline, with specific milestones, to replace NGA's current iteration of the Planning Tool for Resource Integration, Synchronization, and Management (PRISM) with an up-to-date system and designate an office of primary responsibility for each milestone. Develop a plan to coordinate requirements across each NGA directorate involved.

### Audit of NGA's Award-Fee Contracts Report No. OIG A 21-08 / 14 July 2021

- Rec. No. 5** Director, OCS should develop and document procedures to measure the effectiveness of award fees as a tool for improving contractor performance, which, at minimum, should include metrics for measuring the effectiveness of award fees, a system for collecting data on the use of award fee contracts, and regular examinations of the effectiveness of award fees in achieving desired program outcomes.

### Inspection of NGA's Telework Policy Report No. OIG E 21-06 / 18 August 2021

- Rec. No. 1** HD should publish program guidance outlining best practices for components to maximize their use of telework, plan for implementation of mass telework during future pandemics and emergencies, and address long distance (remote) telework.
- Rec. No. 2** HD should update the Agency's telework training for supervisors and employees to ensure identified gaps in the application of telework are addressed and that telework best practices are included.

### Inspection of NGA's GEOINT Standards Program Report No. OIG E 21-07 / 3 September 2021

- Rec. No. 1** The Director, NGA, should provide OIG with documentation substantiating the updating of agency programming guidance, which establishes GEOINT standards as a priority in agency programming.
- Rec. No. 3** GEOINT Functional Management (GFM), formerly ADE, should provide a final version of the annual GEOINT standards report identifying the goals, objectives, and accomplishments of NGA's GEOINT standards elements.
- Rec. No. 5** The ADC should align the roles, responsibilities, grade, and organizational placement of the senior GEOINT authority for GEOINT standards to make the position commensurate with other NGA senior GEOINT authorities.
- Rec. No. 6** The ADC should develop and implement a process to compel NSG programs to submit self-assessment documentation for acquisitions, as required by NSG Directive 3201, The Geospatial Intelligence (GEOINT) Functional Manager Standards Assessment (GFMSA) Program, Sec. 6(b)(3).

### Audit of NGA's Laptop Inventory Report No. OIG A 21-10 / 29 September 2021

- Rec. No. 2** The ADC should ensure a single process for laptop acquisition is followed as required by NGA Manual 4160.1, Accountability and Management of Property, Plant, Equipment, and Other Accountable Property.
- Rec. No. 5** SI should ensure laptop inventory is performed in accordance with NGA Manual 4160.1.

### Quick Look Inspection Report of Contractor Badging

Report No. OIG E 22-02 / 21 December 2021

**Rec. No. 1** SI should develop a plan to address issues with accountability of IC contractor badges in accordance with IC Standard 704-01, Intelligence Community Badge System, (H)(1), and provide a copy of the plan to the OIG by May 2022.

### Quick Look Inspection Report of NGA's COVID-19 Response: GEOINT Continuity, Recovery, Reconstitution, and Impact

Report No. OIG E 22-03 / 6 July 2022

**Rec. No. 1** Per NGA 3020.26, Continuity of Operations Program, the Director, NGA Operations Center, should establish specific milestones to conduct annual testing, training, and exercises to validate the NGA Continuity of Operations Program, update continuity and component specific plans, and develop tracking mechanism for meeting these requirements.

**Rec. No. 2** As required by NGA 8940.1, Lessons Learned Program, the Mission Management Group should coordinate, draft, and prioritize Agency level lessons learned, including specific actions, associated offices of primary responsibility, and milestones, and develop enforcement and tracking mechanisms to track progress towards resolution as appropriate.

### Quick Look Inspection Report of NGA's Security Cameras

Report No. OIG E 22-04 / 5 August 2022

**Rec. No. 3** SI should conduct an assessment on camera coverage, including blind spots, and develop a plan to address any identified vulnerabilities.

### Inspection of NGA Support Team Governance and Support

Report No. MAR 22-01 / 12 August 2022

**Rec. No. 1** The Director, GFM should provide to the OIG the result of an NST/GEO Presence review: (a) to evaluate the mission, vision and goals of NGA's external footprint; (b) that assesses whether NGA is providing partners with the right skills, people, and technology to meet current and future GEOINT mission needs; and (c) that determines whether external footprints are rightsized.

**Rec. No. 2** The Director, GFM, in collaboration with Analysis, and other components with externally assigned personnel, should provide to the OIG an updated NGA Support Team Concept of Operations.

### Audit of NGA's Software License Management

Report No. OIG A 23-01 / 28 October 2022

**Rec. No. 1** The Director, Software Asset Management (TCS) should conduct an annual inventory of non-capitalized, accountable internal use software licenses.

**Rec. No. 2** The Director, TCS, should establish policy, procedures, and controls to ensure that NGA is not paying for unused or underutilized software licenses.

**Rec. No. 3** The Director, TCS, should assess software license inventories and usage to ensure that NGA is not paying for unused or underutilized software licenses.

### Zero Trust Evaluation

Report No. OIG A 23-06 / 13 January 2023

**Rec. No. 2** Recommendation is classified.

**Rec. No. 3** Recommendation is classified.

### Inspection of NGA's Enhanced Personnel Security Program

Report No. OIG E 23-02 / 8 February 2023

**Rec. No. 1** SI should complete a resource study to assess the proper staffing needed by the Continuous Evaluation Unit to provide adequate and timely continuous evaluations of covered individuals. SI should Provide a plan to implement the study results to address needs of the unit.

### NGA's Compliance with the Payment Integrity Information Act for FY 2022

Report No. OIG A U-066-23 / 31 March 2023

**Rec. No. 1** FM should seek written clarification from ODNI regarding the Payment Integrity Information Act (PIIA) reporting expectations for NGA, specifically the applicable payment integrity information to be published to meet requirement 1a (i.e., publishing payment integrity information with the annual financial statement) and how the OMB data call guidance should be used. If clarification is not provided, FM should document its analysis and interpretation of existing PIIA guidance and how NGA will fulfill annual PIIA reporting requirements.

**Rec. No. 2** FM should develop SOPs or other process documentation that describe NGA's annual PIIA reporting processes, including the procedures FM will perform to identify the applicable payment integrity information to report in the Agency Financial Report each year.

# Management Decisions Made

IG Act § 5(a)(6)

During this reporting period, management made decisions that closed 18 open recommendations from 10 audit and inspection reports issued during this and previous reporting periods.

## Audit of NGA's Permanent Duty Travel

REPORT NO. OIGA 21-01

**Decision 1:** Management acted to close recommendation 2, which was to develop procedures to ensure NGA employees sign their permanent duty travel vouchers.

**Decision 2:** Management acted to close recommendation 6, which was to complete the update and reissue of NGA 7250.1, Permanent Change of Station Relocation Allowances for Employees.

## Audit of Maintaining Cybersecurity in the COVID-19 Operating Environment

REPORT NO. OIGA 21-09

**Decision:** Management acted to close recommendation 1, which was to update Agency policies and procedures, including stakeholder roles and responsibilities for configuration management, flaw remediation, and maintenance of baseline configurations for information systems hardware and software inventory.

## Audit of NGA's Assignments Program

REPORT NO. OIGA 22-06

**Decision:** Management acted to close recommendation 1, which was to develop methodologies and associated metrics to monitor and assess: (1) the Performance of the Assignments Program and how it is meeting its stated intent to support Goal 1 of the NGA Strategy and to balance the consideration of mission requirements, the overall health of specific occupations, and the individual career development needs of NGA employees; and (2) the assignments process to detect noncompliance with anti-discrimination and fairness requirements and to prevent abuses of power.

## Audit of NGA's GEOINT Search and Retrieval Program

REPORT NO. OIGA 23-05

**Decision 1:** Management acted to close recommendation 2, which was to ensure the PMO consistently executes all elements of the quality assurance surveillance plan for the follow-on GEOINT Search and Retrieval (GSR) contract, as applicable: soliciting, analyzing, and responding to customer feedback; tracking metrics for cost/price, timelines, and quality; documenting the results of quality assurance monitoring and any analysis of related results; and documenting all related task order performance work statement requirements .

**Decision 2:** Management acted to close recommendation 3, which was to ensure the PAR for completing the current GSR contract was prepared and finalized in the PRISM Vendor Past Performance module.

**Decision 3:** Management acted to close recommendation 4, which was to ensure contractor PARs for the follow-on GSR contract were prepared and finalized in the PRISM Vendor Past Performance module for each period of performance and to use the results to inform the decision of whether to exercise contract option years.

**Decision 4:** Management acted to close recommendation 6, which was to develop and implement or identify and improve controls to ensure that contracting modification documentation, including documentation around contract extensions, is complete and fully explains the reasons for contract actions.

## Audit of NGA's Loaned Equipment

REPORT NO. OIGA 24-02

**Decision 1:** Management acted to close recommendation 2, which was to develop a POA&M for completing the repository of support agreements covered by NGAI 4000.19.

**Decision 2:** Management acted to close recommendation 3, which was to establish and implement a process to ensure reviews of support agreements covered by NGAI 4000.19 are conducted as required.

**Decision 3:** Management acted to close recommendation 4, which was to document the internal procedures for ensuring repository accuracy and currency.

**Decision 4:** Management acted to close recommendation 7, which was to update NGAM 4160.1 to require that NGA Form 4140-6 be uploaded to the PeopleSoft Asset Management System for all loaned equipment.

## Audit of NGA's Removable Media Devices

REPORT NO. OIGA 24-06

**Decision 1:** Management acted to close recommendation 5, which is classified.

**Decision 2:** Management acted to close recommendation 8, which is classified.

## Inspection of NGA's Medical Services

REPORT NO. OIGE 18-05

**Decision:** Management implemented actions to ensure the respective Primary Information Officers (PIOs) and Information Officers (IOs) responsible for all medical program records perform Records and Information Lifecycle Management requirements, including updating the office file plan (OFF) with the proper file series. These actions closed recommendation 6.

## Inspection of NGA's Property Accountability Program

REPORT NO. MAR 23-01

**Decision:** Management provided the OIG with a copy of their plan of action to secure property accountability in accordance with DoD Instruction 5000.64, Accountability and Management Of DoD Equipment and Other Accountable Property, which closed the only recommendation in the report.

## Inspection of NGA's Enhanced Personnel Security Program

REPORT NO. OIGE 23-02

**Decision:** Management provided a copy of an updated NGAI 5200.2, Personnel Security Program, which includes specific language regarding the Continuous Evaluation Program, including non-covered individuals. This action closed Recommendation 2.

## Quick Look of NGA's Application of Classification for Fiscal Year 2022

REPORT NO. OIGE 23-03

**Decision:** Management signed the Delegation of Declassification Authority Memorandum, and the OIG Inspections Division assessed that this satisfied closure of this only recommendation in the report.

# Investigations Information, Statistics and Metrics

The NGA OIG received 116 complaints during this reporting period. The following chart shows the cultural trends of the complaints received:

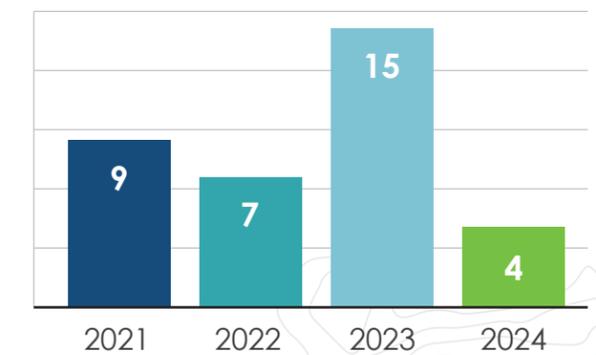
Cultural Trends of OIG Complaints  
1 October 2023 – 31 March 2024



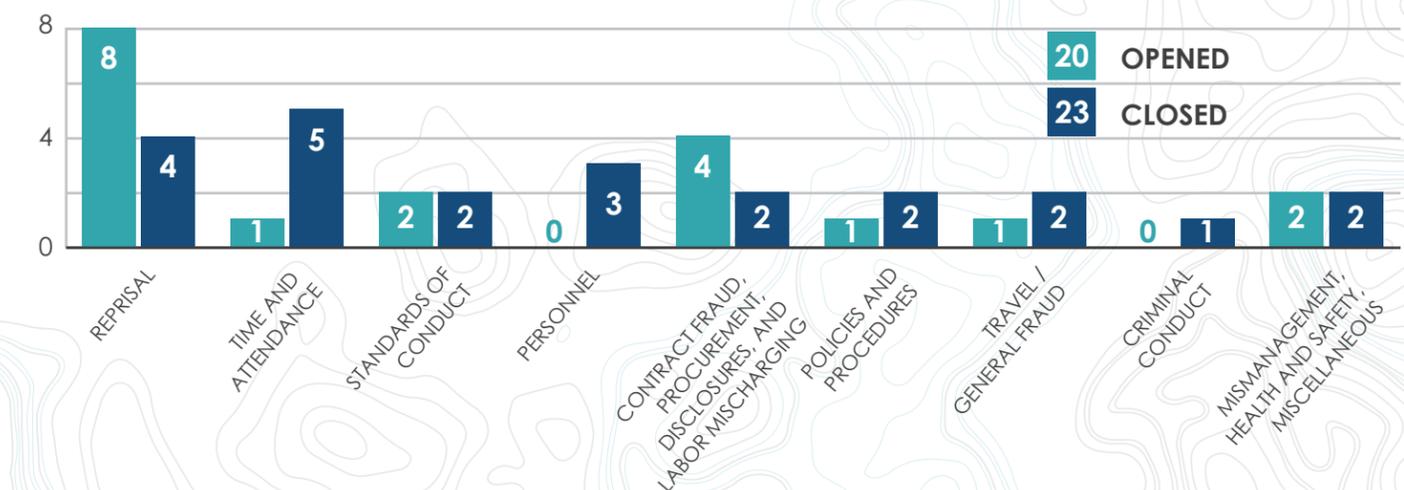
During this reporting period, the OIG opened 20 investigations, closed 23 cases, and issued 12 investigative reports.

During the current reporting period, the OIG closed four reprisal investigations in 80 calendar days on average. Additionally, for 100% of closed reprisal investigations, the OIG coordinated the results with the DoD OIG Whistleblower Reprisal Investigations Directorate, notified complainants of the results, and documented the investigative case files accordingly.

Reprisal Investigations Closed Per Year



Cases Opened and Closed  
1 October 2023 – 31 March 2024



## Summary of Significant Investigations Closed

IG Act § 5(a)(3)

### NGA OIG Case No. 23-030, Hostile Work Environment

The NGA OIG investigated an allegation that from 25 July 2018 to 29 September 2023 a senior NGA official fostered a negative work environment by failing to treat subordinate employees with dignity and respect. The allegation was substantiated, and NGA issued the Subject a letter of reprimand.

### NGA OIG Case No. 23-042, Hostile Work Environment

The NGA OIG investigated an allegation that a senior NGA official repeatedly displayed inappropriate behavior, beginning in April 2021, that negatively impacted subordinates and work performance and that created a hostile work environment. The allegation was substantiated, and NGA issued the Subject a letter of reprimand.

### NGA OIG Case No. 23-045, Time and Attendance

The NGA OIG completed a time and attendance analysis based on an anonymous complaint that from 13 March 2022 to 11 March 2023 an NGA senior official committed time and attendance fraud by taking excessive breaks. Although the Subject mitigated discrepant hours and corrected the timesheets, the NGA OIG substantiated that the Subject did not follow policies and procedures to accurately record the time. The Subject's supervisor recommended that the Subject correct the timesheets, accurately record the time and attendance, and repay 30 unexplained hours by using annual leave.

## Total Number of Convictions

IG Act § 5(a)(4)

The OIG did not complete any investigations resulting in convictions during the reporting period.

## Statistical Table of Investigation Information

IG Act § 5(a)(11)

<b>12</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>3</b>	<b>\$51,605.89</b>
Investigative Reports Issued	Referrals to the Department of Justice for Criminal Prosecution	Referrals to State and Local Authorities for Criminal Prosecution	Indictments and Criminal Informations Resulting from Prior Referrals	Cases Referred to Other Investigative Authorities for Possible Criminal Prosecution	Funds Recovered During the Reporting Period

**Note:** The OIG obtained the data contained in the statistical tables from its case management system (IG Act § 5(a)(12)).

## Substantiated Allegations of Seniors

IG Act § 5(a)(13)

During this reporting period, the NGA OIG opened two investigations involving senior government officials. The OIG closed six investigations involving senior government officials and substantiated three of them as discussed previously under Summary of Significant Investigations Closed. The OIG coordinated the completed reports of investigation with the DoD OIG Investigations of Senior Officials Directorate, which concurred with OIG's conclusions.

**OPENED AND CLOSED SENIOR CASES**  
1 OCT 23 - 31 MAR 24

**OPENED**  
**2**

**CLOSED**  
**6**

**REPRISAL CASES CLOSED SEMIANNUALLY**

1 APR 22 – 30 SEPT 22 **4**

1 OCT 22 – 30 MAR 23 **9**

1 APR – 30 SEPT 23 **6**

1 OCT 23 – 31 MAR 24 **4**

## Whistleblower Retaliation

IG Act § 5(a)(14)

The NGA OIG received nine allegations of reprisal during this reporting period.

For each case, the OIG coordinated the complaint analyses and determinations with the DoD OIG Whistleblower Reprisal Investigations Directorate, which concurred with NGA OIG's conclusions.

The OIG closed four whistleblower retaliation investigations and substantiated one of the allegations. In the substantiated allegation, the Complainant alleged that the responsible management official significantly reduced the Complainant's duties and provided a negative performance evaluation for reporting a potential conflict of interest posed during a sole source acquisition contract. After completing a thorough investigation, the OIG concluded that the protected disclosures contributed to the adverse personnel actions. The Subject of the reprisal investigation retired from the Agency before the OIG completed the report.

# Additional Reporting Requirements

## Compliance with Federal Financial Management and Improvement Act of 1996 (IG Act § 5(a)(7))

- NGA has developed and implemented remediation plans for financial management systems to address areas of noncompliance. NGA has met the intermediated target dates in the plans.

## Peer Reviews (IG Act § 5(a)(8–10))

### Peer Reviews of NGA OIG:

- In January 2024, the Defense Intelligence Agency OIG conducted a peer review of the NGA OIG Investigations Division. The peer review team found that its investigative functions complied with CIGIE quality standards and other applicable guidelines and statutes. Notably, the peer review team highlighted two cases for “exemplary thoroughness and completeness of documentation.” Additionally, the peer review team recognized the digital forensics program by stating, “The organization and thorough documentation reflected in this [digital forensics] SOP provides an excellent source for consideration by other Inspectors General that may be organizing, updating, or putting into effect their own digital forensic program.”
- In March 2024, the National Security Agency OIG completed a peer review of the NGA OIG Audit Division and found that its system of quality control in effect had been suitably designed and complied with to provide the NGA OIG with reasonable assurance of performing and reporting in conformity with applicable professional standards and legal and regulatory requirements in all material respects.
- In June 2021, the Defense Intelligence Agency OIG conducted a peer review of the NGA OIG Inspections and Evaluations Division and found that its internal policies and procedures were generally consistent with the seven standards defined in the Council of the Inspectors General on Integrity and Efficiency’s (CIGIE’s) Quality Standards for Inspection and Evaluation. The next peer review of the division is planned for 2024.

### Peer Reviews Conducted by NGA OIG:

- During this reporting period, the NGA OIG Audit Division began supporting a peer review of the National Security Agency (NSA) led by the National Reconnaissance Office (NRO).
- In October 2023, the NGA OIG Inspections and Evaluations division supported a peer review of NSA, conducted by the Office of the Director of National Intelligence OIG.

### Outstanding Recommendation from Peer Reviews Conducted by NGA OIG:

- There are no outstanding recommendations.

**Attempts to Interfere with IG Independence**  
(IG Act § 5(a)(15)(A))

The OIG did not experience any attempts to interfere with the office's independence during this reporting period.

**Information or Assistance Refused**  
(IG Act § 5(a)(15)(B))

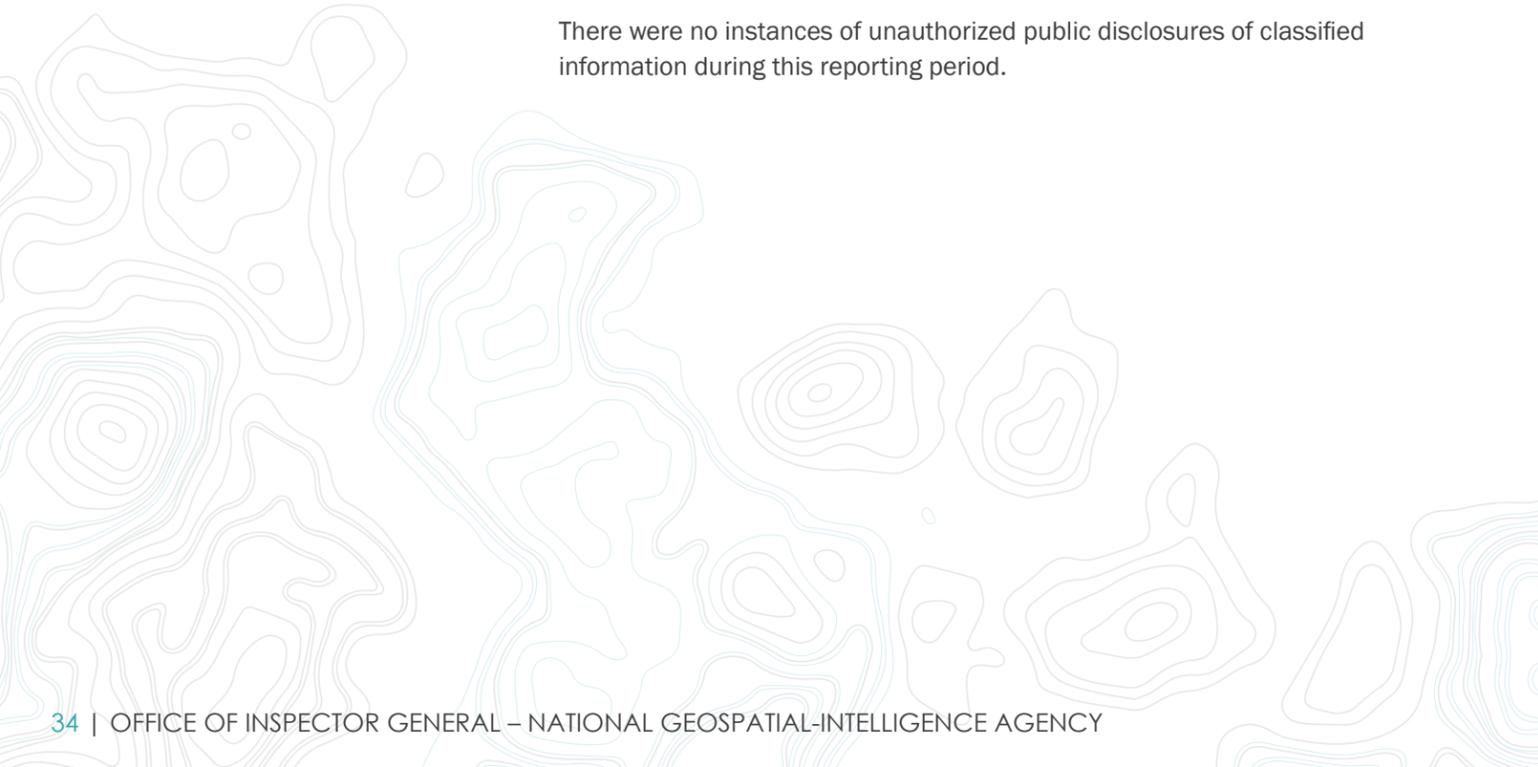
The OIG was not refused any information or assistance during this reporting period.

**Public Disclosure (Closed but Undisclosed Audits, Inspections, and Investigations)**  
(IG Act § 5(a)(16))

The NGA OIG did not publicly disclose any inspections, evaluations, audits, or investigations during this reporting period. Detailed descriptions of all non-disclosed reports are provided in this report.

**Investigations of Unauthorized Public Disclosures of Classified Information**  
(50 U.S.C. § 3235(b)(1))

There were no instances of unauthorized public disclosures of classified information during this reporting period.





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